AbbVie Netherlands Transparency Disclosure Methodological Notes for Reporting Year 2017

Ensuring compliance with the legal requirement by the Ministry of Health and the code on regulations of medicine promotions (the CGR) AbbVie Netherlands will publish Transfer of Value (ToV) to HCPs and HCOs according to the local law on the platform http://transparantieregister.nl/nl-NL/Zoeken-in-het-register/Big-nummer.

As a member company of NEFARMA, R&D transactions have to be disclosed on an aggregated level for the calendar year 2017.

This Methodological Note provides guidance on how Abbvie Netherlands has recorded and publicly reported this information in accordance with the current editions of NEFARMA Disclosure Code for R&D disclosure.

Reporting period / Reportable ToV:
The AbbVie BV 2017 disclosure includes applicable ToV provided between 1 January 2017 and 31 December 2017.

Transactions processed after 9 February 2018 will be considered for the next report.

Cross borders interactions:
Reportable ToV provided by AbbVie affiliates (worldwide) to the Netherlands HCPs/HCOs have been included.

Research and Development:
For the purpose of disclosure, research and development (R&D) ToVs are ToVs to HCPs or HCOs related to the planning or conduct of:

- non-clinical studies
- clinical trials
- non-interventional studies that are prospective in nature and involve the collection of data from, or on behalf of, individual or groups of HCPs specifically for the study.

The total aggregate disclosure includes ToV made by AbbVie Netherlands to HCPs/HCOs, as well as those made by its parent company, subsidiaries and joint ventures (as required by the partner agreement).

Clinical trials with retrospective elements, including ToV direct or indirect to HCPs/HCOs, has been disclosed at an individual level as a fee for service.

Biological samples and investigational compounds will be excluded from R&D disclosures. These compounds are subject to provisions under the Clinical Trial Directive (their use is submitted in the clinical trial approval process).

Lending of laboratory equipment that is used exclusively for conducting a study and will be returned to AbbVie at the end of the study will not be disclosed in the R&D aggregate amount.

Date Methodology:
AbbVie followed the date methodology when determining which ToVs are in scope for current reporting cycle:

Paid Date is defined as the date the payment was provided to the covered recipient. ToVs related to the following categories use the Paid Date when determining applicability for current year reporting requirements (e.g., did the payment occur within the reporting period 1 January 2017 to 31 December 2017).

- Research and Development

Note: Any ToV occurring prior to the EFPIA Disclosure Code Requirements' effective date (01 January 2015) will not be included in the disclosure report.

VAT:
Where applicable, disclosure of HCP and HCO payments does not include VAT. Cross border ToV may or may not include VAT depending on the submitting source

Withholding Taxes:
Where applicable, for services provided in locations outside of The Netherlands ToV amounts will be reported as in the contract agreement.

Currency:
All information is reported in Euro.

Exchange Rate:
Where transfers of value were captured in foreign currency, amounts were converted to local currency based on Monthly Average Rates.

Rounding:
For each HCP/HCO, ToVs for each reporting category are rounded to the nearest Euro. The Total Amount for each HCP/HCO represents the sum of the reporting category amounts.

Multiyear contacts:
Activities with ToV, crossing calendar years may have the contracted full amount disclosed using the date of last payment.